

01-31-2001

RE

SHEET

1-22-01



To the Honorable Commissioner of

101600589

Attached original documents or copy thereof.

1. Name of conveying party(ies):

Swaminathan RAMESH

2. Name and address of receiving party(ies):

Name: BASF Corporation
Street Address: 26701 Telegraph Road
City: Southfield State: Michigan
Zip: 48034-2442

Additional numbers attached?

☒Yes ☐ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other☐

Execution Date: 1/5/2001 and 1/10/2001

Additional numbers attached?

☐ Yes☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

Attorney's Docket No. IN-5429

Application No.: 09/747,472

Additional numbers attached?

☐ Yes☒ No

5. Name and address of Party to whom correspondence concerning document should be mailed:

Name: Mary E. Golota
Internal Address: BASF Corporation
Street Address: 26701 Telegraph Road
City: Southfield State: Michigan Zip: 48034-2442

5a. Name and address of Party to whom correspondence concerning document should be mailed:

Name: Anne Gerry Sabourin
Internal Address: BASF Corporation
Street Address: 26701 Telegraph Road
City: Southfield State: Michigan Zip: 48034-2442

6. Total number of applications and patents involved: One

7. Total fee (37 CFR 3.41):.....\$40.00

Enclosed

Authorized to be charged to deposit account

8. Deposit account number: 23-3425

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document

I hereby certify that the attached correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231, on January 17, 2001.

Majorie Ellis

Name of Person Signature

Signature

Date

-5-

Total number of pages comprising cover sheet:

01/30/2001 RANWED1 00000124 233425 09747472
01 FC:581 40.00 CH

IN-5429

SECOND PAGE OF RECORDATION FORM COVER SHEET
Patent only

Additional Name(s) of Conveying Party(ies)

2. JoAnn LANZA

3. Paul HARRIS

ASSIGNMENT

WHEREAS, I (WE), Swaminathan RAMESH, JoAnn LANZA, and Paul HARRIS residing at 47417 Stratford Drive, Canton, Michigan 48187, United States of America; 26600 Berg Road, Apartment 1512, Southfield, 48034, United States of America; 5581 Powder Horn, West Bloomfield, Michigan 48322-1771, United States of America, (declarant(s)) declare that I (WE) have invented certain improvements in: Water Based Acrylic Emulsion Dispersents Utilized as Grind Resins for Pigments and Method of Preparing the Same hereinafter the invention or inventions, described in U.S. Patent Application Serial No. 09/747,472 filed on December 22, 2000 and being owner(s) of all right, title and interest in and to said invention or inventions and to said application, free from all prior assignments, agreements, licenses, mortgages, or other encumbrances whatsoever, and having full right to convey the entire interest both legal and equitable herein assigned; and

WHEREAS, BASF Corporation, a corporation of the State of Delaware having a place of business at 3000 Continental Drive - North, Mount Olive, New Jersey 07828-1234 (assignee), is desirous of acquiring the entire right, title and interest in and to said invention or inventions, and to said application and to any and all patents to be obtained therefor;

NOW THEREFORE, in accordance with the terms of declarant(s) employment agreement, the declarant(s) does (do) hereby assign, transfer

Docket: IN-5429

and set over unto said assignee, its successors and assigns, the entire right, title and interest in and to said invention or inventions, as described in the aforesaid application, in any form or embodiment thereof, and in and to the aforesaid application; and in and to any application filed in any foreign country based thereon, including the right to file said foreign applications under the provisions of the International Convention; also the entire right, title and interest in and to any and all patents or reissues or extensions thereof to be obtained in this or any foreign country upon said invention or inventions and any divisional, continuation, continuation-in-part or substitute applications which may be filed upon said invention or inventions in this or any foreign country; and the declarant(s) hereby authorize(s) and request(s) the issuing authority to issue any and all patents on said application or applications to said assignee or its successors and assigns.

The declarant(s) further agrees(s), in accordance with the terms of declarant(s) employment agreement, without any payment or compensation by said assignee or its successors and assigns, to communicate to said assignee, its representatives or agents or its successors and assigns, any facts relating to said invention or inventions including evidence for interference purposes or for other legal proceedings, whenever requested; to testify in any interference or other legal proceedings, whenever requested; to execute and deliver, on request, all lawful papers required to make any of the foregoing provisions effective; and to do everything possible to aid said assignee, its successors, or assigns and nominees to obtain and enforce proper patent protection for said invention or inventions in this or any foreign country.

Title: Water Based Acrylic Emulsion Dispersents Utilized as Grind Resins for Pigments and Method of Preparing the Same

Docket: IN-5429

The undersigned declarant(s) further agree(s) that all statements made herein of his/her/their own knowledge are true and that all statements made on information or belief are believed to be true. The undersigned understand(s) that willful false statements and the like are punishable by fine or imprisonment, or both (18 U.S.C. 1001) and may jeopardize, the validity of the assignment, or the application or any patent issuing thereon as evidenced by his/her signature below.

Dated: 1/10/2001

Signed: JoAnn Lanza
Typed Name: JoAnn LANZA

Witnessed: Cheng-Le Zhao
Name CHENG-LE ZHAO
Address 11501 Steele Creek Rd.
Charlotte, NC 28273

Witnessed: Li Zhuo
Name Li Zhuo (LIZHUO)
Address 205 Point Wylie Ln.
Fort Mill, SC 29708

Title: Water Based Acrylic Emulsion Dispersents Utilized as Grind Resins for Pigments and Method of Preparing the Same

Docket: IN-5429

The undersigned declarant(s) further agree(s) that all statements made herein of his/her/their own knowledge are true and that all statements made on information or belief are believed to be true. The undersigned understand(s) that willful false statements and the like are punishable by fine or imprisonment, or both (18 U.S.C. 1001) and may jeopardize, the validity of the assignment, or the application or any patent issuing thereon as evidenced by his/her signature below.

Dated: 11/5/2001

Signed: [Signature]
Typed Name: Swaminathan RAMESH

Dated: _____

Signed: _____
Typed Name: _____

Dated: 1/5/2001

Signed: [Signature]
Typed Name: Paul HARRIS

State of MICHIGAN)
) ss.
County of OAKLAND)

On this 5th day of January, 2001 before me personally appeared the foregoing individuals, who executed the foregoing instrument and who acknowledged to me that they executed the same of their own free will for the purpose therein set forth.

(seal)

[Signature]
Notary Public,
Wayne County, Michigan Acting in Oakland
County, State of Michigan
My Commission Expires: April 8, 2001